

Original article

Use of Intra-aortic Balloon Pump as a Bridge to Heart Transplant in Spain: Results From the ASIS-TC Study



Eduardo Barge-Caballero,^{a,b,*} Francisco González-Vílchez,^c Juan F. Delgado,^{b,d} Sonia Mirabet-Pérez,^e José González-Costello,^f Félix Pérez-Villa,^g Manuel Martínez-Sellés,^{b,h} Javier Segovia-Cubero,^{b,i} Daniela Hervás-Sotomayor,^j Teresa Blasco-Peiró,^k Luis De la Fuente-Galán,^l José Luis Lambert-Rodríguez,^{m,n} Diego Rangel-Sousa,^o Luis Almenar-Bonet,^p Iris P. Garrido-Bravo,^q Gregorio Rábago-Juan-Aracil,^r Javier Muñiz,^{b,s} and María G. Crespo-Leiro^{a,b}

^aServicio de Cardiología, Complejo Hospitalario Universitario A Coruña (CHUAC), Instituto de Investigación Biomédica de A Coruña (INIBIC), A Coruña, Spain

^bCentro de Investigación Biomédica en Red de Enfermedades Cardiovasculares (CIBERCV), Instituto de Salud Carlos III, Madrid, Spain

^cServicio de Cardiología, Hospital Universitario Marqués de Valdecilla, Santander, Cantabria, Spain

^dServicio de Cardiología, Hospital Universitario 12 de Octubre, Instituto de Investigación i + 12, Facultad de Medicina, Universidad Complutense, Madrid, Spain

^eServicio de Cardiología, Hospital Santa Creu i Sant Pau, Barcelona, Spain

^fServicio de Cardiología, Hospital Universitario de Bellvitge, L'Hospitalet de Llobregat, Barcelona, Spain

^gServicio de Cardiología, Hospital Clinic i Provincial, Barcelona, Spain

^hServicio de Cardiología, Hospital General Universitario Gregorio Marañón, Universidad Complutense, Universidad Europea, Madrid, Spain

ⁱServicio de Cardiología, Hospital Universitario Puerta de Hierro, Majadahonda, Madrid, Spain

^jServicio de Cirugía Cardíaca, Hospital Universitario Reina Sofía, Córdoba, Spain

^kServicio de Cardiología, Hospital Universitario Miguel Servet, Zaragoza, Spain

^lServicio de Cardiología, Hospital Clínico Universitario, Valladolid, Spain

^mServicio de Cardiología, Hospital Universitario Central de Asturias, Oviedo, Asturias, Spain

ⁿUniversidad Católica San Antonio, Murcia, Spain

^oServicio de Cardiología, Hospital Universitario Virgen del Rocío, Sevilla, Spain

^pServicio de Cardiología, Hospital Universitario y Politécnico La Fe, Valencia, Spain

^qServicio de Cardiología, Hospital Universitario Virgen de la Arrixaca, El Palmar, Murcia, Spain

^rServicio de Cirugía Cardíaca, Clínica Universidad de Navarra, Pamplona, Navarra, Spain

^sInstituto Universitario de Ciencias de la Salud, Universidad de A Coruña, A Coruña, Spain

Article history:

Received 9 April 2018

Accepted 5 July 2018

Available online 13 August 2018

Keywords:

Intra-aortic balloon pump

Mechanical circulatory support

Heart transplant

ABSTRACT

Introduction and objectives: In Spain, intra-aortic balloon pump (IABP) has been used frequently as a bridge to urgent heart transplant (HT). We sought to analyze the clinical outcomes of this strategy.

Methods: We conducted a case-by-case, retrospective review of clinical records of 281 adult patients listed for urgent HT under IABP support in 16 Spanish institutions from 2010 to 2015. Pre- and post-transplant survival and adverse clinical events were analyzed.

Results: A total of 194 (69%, 95%CI, 63.3–74.4) patients were transplanted and 20 (7.1%, 95%CI, 4.4–10.8) died during a mean period of IABP support of 10.9 ± 9.7 days. IABP support was withdrawn before an organ became available in 32 (11.4%) patients. Thirty-five (12.5%, 95%CI, 8.8–16.9) patients transitioned from IABP to full-support mechanical devices. Mean urgent waiting list time increased from 5.9 ± 6.3 days in 2010 to 15 ± 11.7 days in 2015 ($P = .001$). Post-transplant survival rates at 30-days, 1-year, and 5-years were 88.1% (95%CI, 85.7–90.5), 76% (95%CI, 72.9–79.1), and 67.8% (95%CI, 63.7–71.9), respectively. The incidence rate of major adverse clinical outcomes—device dysfunction, stroke, bleeding or infection—during IABP support was 26 (95%CI, 20.6–32.4) episodes per 1000 patient-days. The incidence rate of IABP explantation due to complications was 7.2 (95%CI, 4.5–10.8) cases per 1000 patient-days.

Conclusions: In a setting of short waiting list times, IABP can be used to bridge candidates to urgent HT with acceptable postoperative results, but there were significant rates of adverse clinical events during support.

© 2018 Sociedad Española de Cardiología. Published by Elsevier España, S.L.U. All rights reserved.

* Corresponding author: Unidad de Insuficiencia Cardíaca Avanzada y Trasplante, Servicio de Cardiología, Complejo Hospitalario Universitario de A Coruña, As Xubias 84, 15006 A Coruña, Spain.

E-mail address: Eduardo.barge.caballero@sergas.es (E. Barge-Caballero).

Empleo del balón de contrapulsación intraaórtico como puente al trasplante cardiaco en España: resultados del estudio ASIS-TC

RESUMEN

Palabras clave:

Balón de contrapulsación intraaórtico
Asistencia circulatoria mecánica
Trasplante cardiaco

Introducción y objetivos: En España, el balón de contrapulsación intraaórtico (BCIA) se ha usado frecuentemente como puente al trasplante cardiaco (TxC) urgente. El propósito es analizar los resultados de esta estrategia.

Métodos: Se realizó una revisión retrospectiva caso por caso de los registros clínicos de 281 pacientes adultos listados para TxC urgente asistidos con BCIA en 16 hospitales españoles entre 2010 y 2015. Se analizaron la supervivencia antes y después del trasplante y la incidencia de eventos adversos.

Resultados: Se trasplantó a 194 pacientes (69%; IC95%, 63,3-74,4) y 20 (7,1%; IC95%, 4,4-10,8) fallecieron durante la asistencia, cuya duración media fue de $10,9 \pm 9,7$ días. El BCIA se explantó antes de obtener un órgano a 32 pacientes (11,4%). En 35 pacientes (12,5%; IC95%, 8,8-16,9) se implantó un dispositivo de asistencia circulatoria mecánica completa. El tiempo en la lista de espera urgente se incrementó desde $5,9 \pm 6,3$ días en 2010 hasta $15 \pm 11,7$ días en 2015 ($p = 0,001$). La supervivencia a 30 días y a 1 y 5 años tras el TxC fue del 88,1% (IC95%, 85,7-90,5), 76% (IC95%, 72,9-79,1) y 67,8% (IC95%, 63,7-71,9) respectivamente. La tasa de incidencia de eventos adversos mayores—disfunción del BCIA, ictus, hemorragia o infección— durante la asistencia fue de 26 (IC95%, 20,6-32,4) eventos/1.000 pacientes-día. La tasa de incidencia de explante del BCIA por complicaciones fue de 7,2 (IC95%, 4,5-10,8) casos/1.000 pacientes-día.

Conclusiones: En el contexto de listas de espera cortas, el BCIA puede utilizarse como puente al TxC urgente con resultados aceptables. Esta estrategia conlleva una incidencia significativa de eventos adversos.

© 2018 Sociedad Española de Cardiología. Publicado por Elsevier España, S.L.U. Todos los derechos reservados.

Abbreviations

HT: heart transplant
IABP: intra-aortic balloon pump
INTERMACS: Interagency Registry for Mechanically Assisted Circulatory Support
MCS: mechanical circulatory support
VAD: ventricular assist device

METHODS

Study Description

The ASIS-TC (*Empleo de los dispositivos de asistencia circulatoria de corta duración como puente a trasplante cardiaco urgente en España*) study was a retrospective, multicenter, registry that included all patients aged 18 years or older who were listed from 1 January 2010 to 31 December 2015 for first, single-organ, urgent HT within the Spanish national network for organ sharing—known as the *Organización Nacional de Trasplantes*—while being supported with short-term mechanical devices—IABP, extracorporeal membrane oxygenation or temporary ventricular assist devices (VAD). All 16 adult HT centers in the country participated in the registry.

The study protocol was approved by the Committee for Ethics in Clinical Investigation of the Autonomous Community of Galicia, and was ratified by the institutional review boards of participating hospitals.

During the study period, the highest waiting list priority level for HT within the Spanish organ donor allocation system—known as *urgency status 0*—was reserved exclusively for patients listed under extracorporeal membrane oxygenators or temporary VADs, or for patients with malfunctioning durable VADs. *Status 0* conferred nationwide priority for receiving the first suitable organ donor available in the system. A recent study has reported the clinical outcomes of *status 0* candidates.⁵

Candidates listed for HT under IABP support were included in a lower level of priority—known as *urgency status 1*—, which itself conferred advantage over medically managed candidates listed in the *status 2* level—ie, the elective or nonurgent level—to receive a suitable cardiac donor, provided that no *status 0* candidate could benefit from it. Before June 2014, priority of *status 1* candidates over *status 2* candidates applied to any organ retrieved within the whole nation, but beyond this date only to organs retrieved within the referral area of the transplant center where the candidate was hospitalized.

In this study, we report the clinical outcomes of patients listed for HT on IABP therapy as their unique MCS device, and therefore

INTRODUCTION

Worldwide, intra-aortic balloon pump (IABP) remains as the most frequently used device to provide mechanical circulatory support (MCS) to patients with cardiogenic shock.¹ As IABP is intended for partial, temporary support, it is unfrequently used as a direct bridge to heart transplant (HT). However, in the setting of the well-organized Spanish organ donor allocation system,² which ensures short waiting times for candidates listed with urgent priority, IABP has been used frequently for this purpose.³

Previously published data regarding the results of IABP support as a direct bridge to HT are scarce. Preoperative use of IABP is a risk factor for post-transplant mortality according to an American registry-derived prognostic score⁴; however, a focused European single-center study found similar post-transplant survival in HT candidates bridged on IABP support compared with medically managed HT candidates.⁵ To the best of our knowledge, there are a lack of comprehensive data on waiting list mortality and clinical complications associated with IABP support in this bridge-to-transplant scenario.

We aimed to study in a systematic manner the pre- and post-transplant clinical outcomes of patients supported with an IABP with a primary intention of bridge-to-transplant in Spain in a recent era. To fulfil this objective, we analyzed the clinical information recorded in a nationwide registry.

classified as *urgency status 1*. In the case of patients who were listed for HT as *status 1* more than once during the study period, only the most recent episode of listing was included. The decision to implant an IABP and, subsequently, to include the patient on the waiting list for HT as *urgency status 1* was adopted by each transplant team according to local protocols and clinical experience, but was not based on a prespecified protocol defined for the study.

Baseline clinical characteristics, outcomes and complications of IABP support, waiting times and post-transplant outcomes were analyzed. Specific definitions of study outcomes are presented in the [supplementary material](#).

Statistical Analysis

Continuous variables are presented as mean \pm standard deviation and categorical variables are presented as proportions. The Student *t* test and chi-squared test were used for statistical comparisons among groups, as required. The Clopper-Pearson exact method was used to estimate 95% confidence intervals (95%CI) of incidence rates of study outcomes. One-way analysis of variance with a lineal polynomial contrast was used to analyze the trend of waiting list times for HT over the study period.

Post-listing and post-transplant survival curves were depicted by means of the Kaplan-Meier method, and compared by means of the log-rank test. Multivariable Cox's regression was used to estimate the hazard ratio for 1-year mortality after urgent listing in patients with Interagency Registry for Mechanically Assisted Circulatory Support (INTERMACS) profiles 1-2 vs 3-4, as adjusted by age, sex, and the etiology of heart failure—*ischemic or nonischemic*. Statistical significance was set as a *P* value $< .05$ for all comparisons. Statistical analyses were performed with SPSS 20 and Epidat 4.1.

RESULTS

Patients

From January 2010 to December 2015, 281 patients were listed for HT under IABP support, and therefore had a priority level of *urgency status 1*, in 16 Spanish hospitals. A total of 138 (49.1%) of these candidates had been already included in the waiting list for HT before IABP implantation, and had been upgraded to *urgency status 1* after insertion of the device. Three patients were listed for *status 1* HT under IABP support on more than 1 occasion during the study period.

An IABP was inserted through the femoral artery in all patients except 2 (0.7%), in which a brachial access was selected. The calibre of the device was 7-Fr in 89 (32%) patients, 8-Fr in 159 (57%) patients, and 9-Fr in 23 (8%) patients; this information was not reported in 10 patients. Mean time elapsed from IABP implantation to *status 1* listing was 1.7 ± 3.6 days.

[Table 1](#) shows the most relevant baseline clinical characteristics of study patients, as considered at the time of *status 1* listing.

Outcomes of Intra-aortic Balloon Pump Support

Mean duration of IABP support was 10.9 ± 9.7 days (range, 0-58 days). During this period, programmed—ie, not due to complications—device replacement was performed once in 5 patients and twice in 2 patients. A flow chart of study patients and outcomes of IABP support is presented in [Figure 1](#).

Overall, 194 (69%, 95%CI, 63.3–74.4) patients were transplanted and 20 (7.1%, 95%CI, 4.4–10.8) patients died during IABP support.

Mean waiting time for HT was 9.6 ± 10 days, increasing steadily over the study period, from 5.9 ± 6.3 days in 2010 to 15 ± 11.7 days in 2015 ([Figure 2](#), *P* for lineal trend = .001).

Thirty-five (12.5%, 95%CI, 8.8–16.9) patients transitioned from IABP to full-support mechanical devices before an organ donor became available. Devices implanted were venoarterial extracorporeal membrane oxygenators (*n* = 14), Levitronix Centrimag (*n* = 13), Impella Recover (*n* = 4), Abiomed BVS 5000 (*n* = 3), and BerlinHeart Excor (*n* = 1). Among these individuals, 11 patients died during MCS and 23 were subsequently transplanted as *status 0* candidates—8 died during the early postoperative period after HT. One patient who underwent BerlinHeart Excor implantation was discharged from hospital on this device and was successfully transplanted 3 months later.

Intra-aortic balloon pump support was withdrawn before an organ donor became available in 32 (11.4%, 95%CI, 7.9–15.7) patients, who were subsequently managed medically. The reasons for cessation of IABP support were complications in 19 patients, clinical improvement in 10 patients, and futility in 3 patients. During their in-hospital stay after the cessation of IABP support, 18 patients underwent HT—all survived surgery—and 7 patients died without having been transplanted.

Overall, 235 (83.6%, 95%CI, 78.9–87.8) patients underwent HT during the in-hospital follow-up period after *status 1* listing. Thirty-nine (13.9%, 95%CI, 10.1–18.5) patients died during hospital admission without having been transplanted.

[Figure 3](#) represents the occurrence of the competing events HT during IABP support, death during IABP support, transition to full-support mechanical devices, or IABP removal (and transition to medical management) in the study population over a 28-day follow-up period after *status 1* listing.

Adverse Clinical Events During Intra-aortic Balloon Pump Support

The incidence rate of adverse clinical events during IABP support was 38.7 (95%CI, 32.1–46.3) episodes per 1000 patient-days; the incidence rate of major adverse clinical events—major bleeding, stroke, infection or IABP dysfunction—was 26.0 (95%CI, 20.6–32.4) episodes per 1000 patient-days. [Table 2](#) shows the incidence rate of all individual adverse clinical events reported in the study.

Intra-aortic balloon pump explantation due to device-related complications was performed in 22 (7.8%) patients before an organ became available; in 3 of these individuals, a new IABP was reinserted. Causes for IABP explantation were ischemia/arterial thromboembolism (*n* = 11), device dysfunction (*n* = 5), infection (*n* = 4), and refractory pain (*n* = 2). The incidence rate of IABP explantation due to device-related complications was 7.2 (95%CI, 4.5–10.8) cases per 1000 patient-days.

Post-transplant Outcomes

Among 194 patients who underwent urgent HT while on IABP support, 36 (18.6%, 95%CI, 13.3–24.7) died within the in-hospital postoperative period. [Table 3](#) shows the incidence rates of other relevant in-hospital postoperative outcomes after HT. Mean cold ischemic time of these procedures was 213 ± 52 min. Mean age of the donors was 42 ± 12.6 years. Donors aged ≥ 45 years and donors with ischemic time ≥ 240 minutes were used in 93 (47.9%) and 66 (34%) recipients, respectively.

Causes of in-hospital postoperative death after HT were early graft failure (*n* = 17), infection (*n* = 7), surgical bleeding (*n* = 5), rejection (*n* = 3), sudden death (*n* = 1), and nonspecified multi-organ failure (*n* = 3).

Table 1
Baseline Clinical Characteristics of Study Patients, at the Time of *status 1* Listing

Variables	
Clinical history	
Age, y	52.9 ± 11
Women	65 (23.1)
Body mass index, kg/m ²	25.8 ± 10.3
Days from hospital admission to IABP insertion	14.9 ± 19.9
Days from IABP insertion to <i>status 1</i> listing	1.7 ± 3.6
Patient in waiting list prior to IABP insertion	138 (49.1)
Etiology of underlying cardiomyopathy	
Ischemic	119 (42.3)
Dilated (idiopathic/familial)	114 (40.6)
Hypertrophic	14 (5)
Valvular	9 (3.2)
Myocarditis	7 (2.5)
Restrictive	5 (1.8)
Post-chemotherapy	4 (1.5)
Arrhythmogenic	3 (1.1)
Congenital	3 (1.1)
Noncompaction	3 (1.1)
Cardiogenic shock related to acute myocardial infarction	42 (14.9)
Cardiogenic shock following cardiac surgery	2 (0.7)
Diabetes mellitus	64 (22.8)
Hypertension	97 (34.5)
Hypercholesterolemia	106 (37.7)
Previous open-chest cardiac surgery	42 (14.9)
History of cancer	8 (2.8)
Peripheral vascular disease	11 (3.9)
Chronic obstructive pulmonary disease	39 (13.9)
Previous stroke	22 (7.8)
History of ventricular arrhythmia	115 (40.9)
History of atrial fibrillation	118 (42)
Previous cardiac arrest	40 (14.2)
Implantable defibrillator	158 (56.2)
Cardiac resynchronization therapy	54 (19.2)
Active infection requiring intravenous therapy	23 (8.2)
Clinical status	
INTERMACS profile	
INTERMACS 1	51 (18.1)
INTERMACS 2	126 (44.8)
INTERMACS 3	79 (28.9)
INTERMACS 4	19 (6.8)
Not reported	6 (2.1)
Systolic blood pressure, mmHg	99 ± 13
Heart rate, bpm	88 ± 19
Supportive therapies	
IABP	281 (100)
Renal replacement therapy	6 (2.1)
Mechanical ventilation	42 (14.9)
Inotropes	209 (74.4)
Vasopressors	53 (18.9)
Vasoactive-inotropic score, units	16 ± 42
Laboratory	
Leucocytes, × 10 ⁹ /L	9.2 ± 4.5
Platelets, × 10 ⁹ /L	190 ± 100
INR	1.5 ± 0.7
Prothrombin time, sec	21 ± 15
Creatinine, mg/dL	1.2 ± 0.5

Table 1 (Continued)
Baseline Clinical Characteristics of Study Patients, at the Time of *status 1* Listing

Variables	
Glomerular filtration rate, mL/min	78 ± 32
Hemoglobin, g/dL	11.6 ± 2.1
Sodium, mEq/L	135 ± 5
Bilirubin, mg/dL	1.9 ± 2.2
Aspartate aminotransferase, IU/L	62 ± 96
Alanine aminotransferase, IU/L	79 ± 139
Albumin, g/dL	3.7 ± 0.7
Arterial oxygen tension, mmHg	103 ± 43
pH	7.44 ± 0.1
Lactate, mmol/L	1.5 ± 1.2
Echocardiography	
Left ventricular ejection fraction, %	23 ± 9
Left ventricular end-systolic diameter, mm	66 ± 11
Tricuspid annulus systolic excursion, mm	14 ± 4
Hemodynamics	
Cardiac index, mL/min/m ²	2.3 ± 0.7
Central venous pressure, mmHg	13 ± 6
Capillary wedge pressure, mmHg	23 ± 8
Mean pulmonary pressure, mmHg	33 ± 11
Transpulmonary gradient, mmHg	10 ± 5

IABP, intra-aortic balloon pump; INTERMACS, Interagency Registry for Mechanically Assisted Circulatory Support.

Values are expressed as mean ± standard deviation or No. (%).

By means of the Kaplan-Meier method, estimated 30-day, 1-year, and 5-year survival rates after HT were 88.1% (95%CI, 85.7–90.5), 76% (95%CI, 72.9–79.1), and 67.8% (95%CI, 63.7–71.9), respectively (Figure 4).

Overall Survival After *Status 1* Listing

Overall 1-year survival after *status 1* listing, considering both on-support and either post-transplant (if transplanted) or post-support (if not transplanted) periods, was 66.7% (95%CI, 63.9–69.5). Adjusted hazard ratio for 1-year all-cause mortality after *status 1* listing in patients with INTERMACS profiles 1–2 vs 3–4, as adjusted by age, sex and the etiology of heart failure was 2.17 (95%CI, 1.32–3.58, $P = .005$, Figure 5A). INTERMACS 1–2 candidates showed higher rates of transition to full-support devices (16.9% vs 4.1%; $P = .002$) and lower rates of transplantation (65% vs 78.6%; $P = .019$) during IABP support than INTERMACS 3–4 candidates.

One-year survival after *status 1* listing varied significantly according to the clinical endpoint of IABP support (Figure 5B, $P = .001$). Patients who transitioned from IABP to full-support mechanical devices showed the worst outcomes, with an estimated 1-year survival after *status 1* listing of 42.9% (95%CI, 34.5–51.3). Estimated 1-year survival after *status 1* listing was 78.1% (95%CI, 70.8–85.4) in patients in whom IABP support was stopped and who were subsequently managed medically; this was comparable to survival of candidates transplanted directly on IABP support. Specific 1-year post-transplant survival curves in these 3 subgroups of patients are presented in the Figure of the supplementary material.

DISCUSSION

In this nationwide registry, we studied the pre- and post-transplant clinical outcomes of 281 adult patients listed for urgent HT under IABP support in 16 Spanish institutions from 2010 to

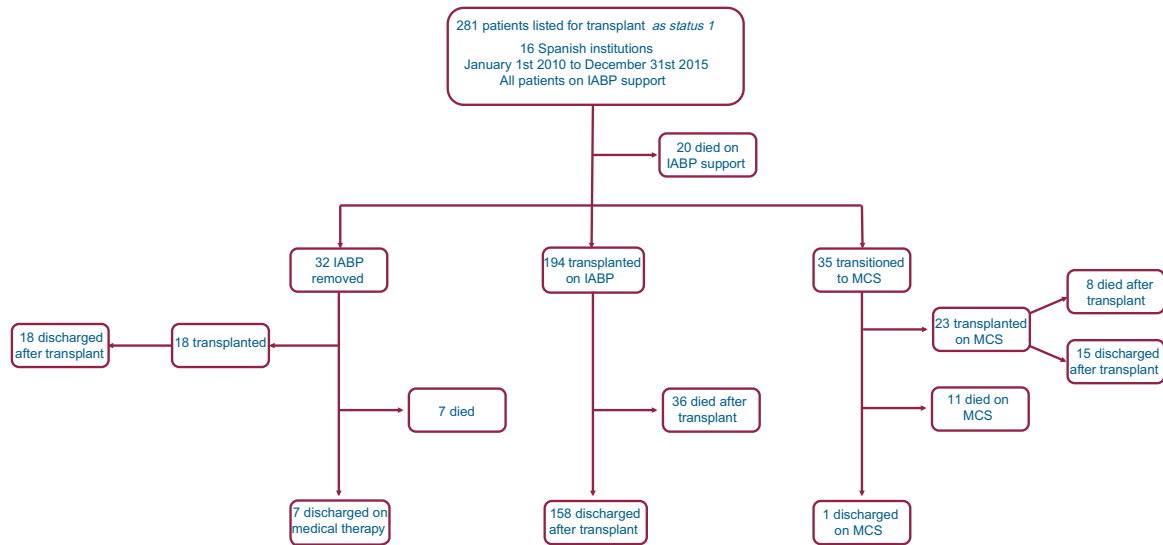


Figure 1. Flowchart of patients and outcomes of IABP support. IABP, intra-aortic balloon pump; MCS, mechanical circulatory support.

2015. Roughly more than two-thirds of them received a donor heart under IABP support within a mean waiting period of ~10 days. Thirty-day, 1-year and 5-year post-transplant survival rates were ~88%, ~76% and ~68%, respectively. Worse outcomes were observed among candidates who required transition from IABP to full-support MCS devices due to progressive hemodynamic impairment while awaiting transplantation. In this subgroup, 1-year survival after urgent listing dropped to ~43%.

Worldwide, IABP is the most widely available method to provide MCS. The main advantage of this device is its easy percutaneous implantation, which allows an immediate, bedside, start of support. IABP therapy moderately decreases systemic vascular resistance and moderately increases cardiac output up to ~1 litre per minute, provided that the failing left ventricle maintains some contractility that allows counterpulsation.⁷ These hemodynamic effects increase peripheral perfusion, ameliorating end-organ damage.⁸ In patients with ischemic heart disease, diastolic augmentation of aortic blood pressure mediated by IABP inflation significantly increases coronary blood flow.⁹ In high-risk patients undergoing coronary artery bypass grafting, preoperative initiation of IABP support significantly reduces the risk of postoperative renal failure.¹⁰

The hemodynamic improvement conferred by IABP therapy is usually sufficient for the initial stabilization of many patients with advanced HF and signs of low cardiac output, especially in the setting of ischemic heart disease, as a bridge to decision or recovery. However, IABP is unfrequently used as a direct bridge to HT, mainly due to 2 reasons. First, the partial support provided by the device is often insufficient for patients with profound

hemodynamic compromise, or for those with right ventricular failure. Indeed, recent data suggest that IABP therapy does not impact survival in patients with cardiogenic shock complicating an acute myocardial infarction.¹¹ Second, IABP is conceptually intended as a short-term therapy, so its usefulness as a bridge to HT is jeopardized by the chance that the patient has to get a suitable donor in the first few days after the start of support. IABP implantation through a brachial access has been proposed as a safe alternative that allows more prolonged support than the classic femoral insertion¹²; however, this approach is rarely used in current practice.

It is remarkable that even in a setting of short waiting times for HT, as is the case of the efficient Spanish organ donor sharing network,¹ almost one-third of the studied patients was not allocated a donor heart during IABP support. Of note, survival was significantly impaired in candidates listed with INTERMACS profiles 1 and 2,¹³ probably indicating that IABP was an insufficient support for many of them. Prognosis was especially ominous in candidates who transitioned from IABP to full-support MCS—in most cases with temporary devices—due to progressive clinical deterioration while awaiting HT, even though a significant proportion of them upgraded to the top level of waiting list priority (*urgency status 0*) and were subsequently transplanted.

Post-transplant outcomes of patients who could be effectively bridged to HT on IABP support were acceptable, as they were comparable to those reported from the whole historical cohort of Spanish HT recipients.¹⁴ The incidence of early postoperative complications and causes of death were, in general terms, similar to expected, with the remarkable exception of an abnormally high

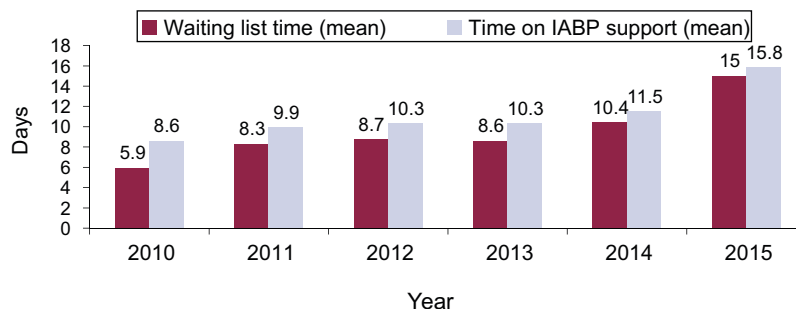
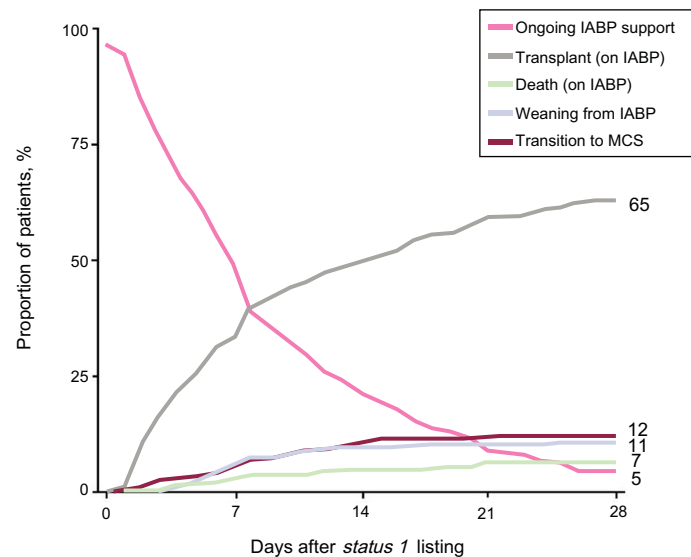


Figure 2. Trends of mean waiting list time for *status 1* heart transplant and mean duration of IABP support over the study period. IABP, Intra-aortic balloon pump.



Ongoing IABP support	281	143	63	26	13
Transplant on IABP	0	97	145	173	183
Died on IABP	0	9	14	18	19
Weaned from IABP	0	16	28	30	31
Transitioned to MCS	0	16	31	34	35

Figure 3. Depiction of the competing outcomes analysis for death, weaning from IABP support, transition to full-support mechanical devices or transplantation during a 28-day follow-up period after *status 1* listing. At any given time point, the sum of the proportion of patients experiencing each outcome equals 100%. IABP, intra-aortic balloon pump; MCS, mechanical circulatory support.

rate of primary graft failure, which might be attributable to a frequent use of aged donors with long ischemic times; however, it must be noticed that local investigators were responsible for the recording of adverse clinical events, so a positive observation bias cannot be ruled out totally.

Although preoperative IABP support has been identified as a risk factor for post-transplant mortality in a multivariable model derived from the United Organ Network for Organ Sharing registry,⁴ an independent negative impact of IABP on post-transplant outcomes was not confirmed by a focused European

Table 2
Adverse Clinical Events During Intra-aortic Balloon Pump Support

Adverse clinical event	No. (%)	Incidence rate (95%CI)
<i>Infection</i>	71 (25.3)	23.1 (18.0-29.1)
IABP-related infection	5 (1.8)	1.6 (0.5-3.8)
<i>Major bleeding</i>	10 (3.6)	3.3 (1.6-6.0)
<i>Stroke</i>	2 (0.7)	0.6 (0.1-2.3)
<i>Non-CNS arterial thromboembolism</i>	15 (5.3)	4.9 (2.7-8.0)
<i>Venous thromboembolism</i>	3 (1.1)	1 (0.2-2.8)
<i>Renal failure requiring replacement therapy</i>	17 (6)	5.5 (3.2-8.8)
<i>Pleural effusion requiring drainage</i>	3 (1.1)	1 (0.2-2.8)
<i>Pericardial effusion requiring drainage</i>	3 (1.1)	1 (0.2-2.8)
<i>Hemolysis</i>	4 (1.4)	1.3 (0.4-3.3)
<i>Vascular access site complication</i>	26 (9.3)	8.5 (5.5-12.4)
Limb ischemia	19 (6.8)	6.2 (3.7-9.7)
Hematoma	6 (2.2)	1.9 (0.7-4.2)
Refractory pain	2 (0.7)	0.6 (0.1-2.3)
Infection	1 (0.4)	0.3 (0.0-0.8)
Pseudoaneurysm	1 (0.4)	0.3 (0.0-0.8)
Arteriovenous fistula	0	0
<i>IABP dysfunction</i>	7 (2.5)	2.3 (0.9-4.7)
<i>Any major adverse event</i>	80 (28.5)	26 (20.6-32.4)
<i>Any adverse event</i>	119 (42.3)	38.7 (32.1-46.3)

95%CI, 95% confidence interval; CNS, central nervous system; IABP, intra-aortic balloon pump. Incidence rate is expressed in number of patients having an event per 1000 patients-day of support.

Table 3
In-hospital Postoperative Outcomes After Heart Transplant in 194 Patients Bridged Under Intra-aortic Balloon Pump Support

	No. (%)	Incidence rate (95%CI)
Postoperative adverse events		
Primary graft failure	62 (32)	10.1 (7.8-13.0)
Right ventricular	38 (19.6)	6.2 (4.4-8.5)
Left ventricular or biventricular	24 (12.4)	3.9 (2.5-5.8)
Temporary MCS after transplant	14 (7.2)	2.3 (1.2-3.8)
Excessive surgical bleeding	42 (21.6)	6.9 (4.9-9.3)
Open-chest redo surgery	48 (24.7)	7.8 (5.8-10.4)
Postoperative infection	83 (42.8)	13.6 (10.8-16.8)
Renal failure requiring replacement therapy	56 (28.9)	9.2 (6.9-11.9)
In-hospital postoperative death	36 (18.6)	5.9 (4.1-8.1)
Other postoperative outcomes		
Days on ventilator after transplant	6.1 ± 14.8	
Days of ICU stay after transplant	13.5 ± 17	
Days of hospital stay after transplant	31.5 ± 25.4	

95%CI, 95% confidence interval; ICU, intensive care unit; MCS, mechanical circulatory support.

Unless otherwise indicated, data are expressed as No. (%) or mean ± standard deviation.

Incidence rate is expressed in number of patients having an event per 1000 patients-day of postoperative stay after transplant.

single-center study.⁵ From a clinical point of view, it seems unlikely that the device itself significantly impacts the risk of transplant surgery; rather, we feel that discrepancies among reported data more probably reflect a heterogeneous risk profile of studied participants. Indeed, previous literature suggests that the clinical status of the recipient, rather than the type of support used, is probably the strongest predictor of postoperative outcomes following HT.¹³

Another notable feature of our study is that nearly one-half of the patients listed as *status 1* under IABP support were not newly listed candidates, but had been previously listed in the nonurgent level. Given the increasing scarcity of donors and waiting times for HT, the semielective implantation of a durable VAD as bridge to HT in early declining or, even stable, inotrope-dependent patients emerges as the most reasonable strategy to break the vicious circle that is now favoring a progressive increase in the proportion of donor hearts that are destined annually in our country to urgent candidates.¹⁴ Recent data from the International Society for Heart and Lung Transplantation registry suggest a protective effect of

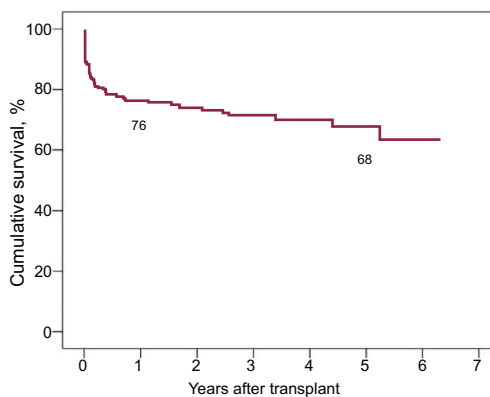
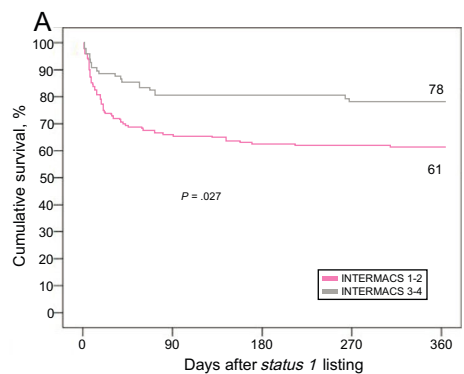


Figure 4. Long-term postoperative survival of 194 patients who underwent heart transplant under intra-aortic balloon pump support.

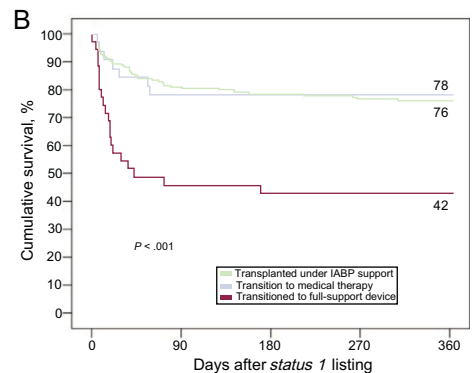
preoperative continuous-flow VAD support on post-transplant outcomes, which is probably due to the beneficial effects of the device on end-organ function, frailty, and nutritional status.¹⁵ This argument was reinforced by a recent single-center American study,¹⁶ which showed better clinical outcomes in candidates who transitioned from short-term to long-term devices and were then subsequently transplanted, compared with candidates who underwent HT directly while on temporary MCS.

In view of this situation, Spanish health care authorities have recently remodelled the priority listing criteria for HT candidates. Since 2017, IABP support is no longer considered an urgent indication, and the criteria required for urgent listing in patients supported with extracorporeal membrane oxygenators of temporary VADs are more restrictive.¹⁷

In our cohort, the overall daily rate of adverse clinical events associated with IABP support approached ~4%. This incidence rate is lower than the rate of adverse events reported for candidates treated with temporary MCS,⁶ but even so, seems higher than that observed in carriers of durable VADs.¹⁸ Most adverse clinical events recorded in the study, like infection, bleeding, or thromboembolic complications may be considered, to a certain extent, as inherent to the critical clinical status of studied patients, but in some cases they also could be favored by IABP therapy.



Patients at risk	0	90	180	270	360
INTERMACS 1-2	177	116	108	107	104
INTERMACS 3-4	98	79	74	66	63



Patients at risk	0	90	180	270	360
Transplanted	194	155	144	136	130
Transition to medical therapy	31	25	25	25	24
Transition to full support	34	16	15	13	13

Figure 5. A: survival over the first year after *status 1* listing according to INTERMACS status. B: survival over the first year after *status 1* listing according to the endpoint of intra-aortic balloon pump support. IABP, intra-aortic balloon pump; INTERMACS, Interagency Registry for Mechanically Assisted Circulatory Support.

The cumulative rate of IABP exchange due to complications directly attributable to the device, such as limb ischemia, mechanical dysfunction, or refractory pain, was 7.8% during the whole period of support; other studies have shown a rate of complications related to IABP support ranging from 2.6% to 13%.^{19,20} These data show how IABP therapy, despite its apparent simplicity and wide availability, is not at all an innocuous modality.

Limitations

This study has a few limitations. First, as an observational study, it is exposed to potential information, selection, observation and confusion biases. Second, the nature of this investigation is essentially descriptive, rather than analytic; the lack of a parallel control group of matched medically managed HT candidates prevented us from drawing consistent conclusions about an independent effect of IABP on pre- or post-transplant survival. Third, the study population was heterogeneous, as patients from 16 different institutions with variable center-specific protocols and clinical experience were included. Fourth, all patients were treated in the setting of the Spanish national organ sharing network, which is historically characterized by extremely short waiting times for urgent HT indications; consequently, the external validity of our observations is questionable, and our results might not be directly extrapolated to other countries. Finally, it must be recognized that the study focused on a recent—but, to some extent, also past—period of the evolution of the field; in view of the recent changes in clinical criteria required for urgent HT listing in Spain, it is presumable that the use of IABP as a direct bridge to HT will become less frequent in future years.

CONCLUSIONS

Intra-aortic balloon pump remains as a widely available method to provide initial circulatory support to critically ill HT candidates. For those who achieve clinical stabilization, IABP can be used as a direct bridge to urgent HT, provided that expected waiting times are short, with acceptable post-transplant outcomes. However, for those who experience profound hemodynamic impairment despite IABP therapy, early implantation of a full-support mechanical device should be considered.

Despite its apparent simplicity, IABP therapy is not an innocuous technique, as it is associated with a significant risk of adverse clinical events as limb ischemia, thromboembolism, device dysfunction, and infection.

In future years, increasing waiting list times and more restrictive indications for urgent HT will probably jeopardize the usefulness of IABP support as a direct bridge to HT in our country.

ACKNOWLEDGEMENTS

The authors are grateful to the *Organización Nacional de Trasplantes* for its support to this investigation.

FUNDING

E. Barge-Caballero received a research grant from the *Fundación Mutua Madrileña*, Spain (XI Annual announcement, year 2014), which supplied funding for the present study.

Some of the authors of this manuscript are members of the CIBERCV (*Centro de Investigación Biomédica en Red de Enfermedades Cardiovasculares*), *Instituto de Salud Carlos III*, Spanish Ministry of Economy and Competitiveness.

CONFLICTS OF INTEREST

E. Barge-Caballero received an academic grant from St. Jude Medical, not related to this investigation.

WHAT IS KNOWN ABOUT THE TOPIC?

- The use of IABP as a direct bridge to HT is conditioned by the fact that a suitable organ donor must become available for the patient within a few days after the start of support.
- Unlike other countries, short waiting times for urgently listed candidates have historically favored the use of IABP as a direct bridge to HT in Spain.

WHAT DOES THIS STUDY ADD?

- Our study provides a unique systematic description of pretransplant and post-transplant outcomes in patients supported with IABP with a primary intention of bridge-to-transplant in our country.
- The study shows how IABP was a feasible option for bridging Spanish patients to urgent HT in the past, providing reasonable postoperative outcomes. However, increasing waiting list times and more restrictive indications for urgent HT will probably jeopardize the usefulness of this strategy in future years.

APPENDIX. SUPPLEMENTARY DATA

Supplementary data associated with this article can be found in the online version, at doi:10.1016/j.rec.2018.07.003.

REFERENCES

1. Unverzagt S, Buerke M, De Waha A, et al. Intra-aortic balloon pump counterpulsation (IABP) for myocardial infarction complicated by cardiogenic shock. *Cochrane Database Syst Rev*. 2015;3:CD007398.
2. Matesanz R. Spain: a leader in harvesting hearts for transplantation. *Circulation*. 2007;115:f45–f46.
3. Barge-Caballero E, Almenar-Bonet L, Villa-Arranz A, et al. Impact of short term mechanical circulatory support with extracorporeal devices on postoperative outcomes after emergency heart transplantation: data from a multi-institutional Spanish cohort. *Int J Cardiol*. 2014;176:86–93.
4. Weiss ES, Allen JG, Arnaoutakis GJ, et al. Creation of a quantitative recipient risk index for mortality prediction after cardiac transplantation (IMPACT). *Ann Thorac Surg*. 2011;92:914–921.
5. Gjesdal O, Gude E, Arora S, et al. Intra-aortic balloon counterpulsation as a bridge to heart transplantation does not impair long-term survival. *Eur J Heart Fail*. 2009;11:709–714.
6. Barge-Caballero E, Almenar-Bonet L, Gonzalez-Vilchez F, et al. Clinical outcomes of temporary mechanical circulatory support as a direct bridge to heart transplantation. A nationwide Spanish registry. *Eur J Heart Fail*. 2017. <http://dx.doi.org/10.1002/ejhf.956>. Accessed 2 Jul 2018.
7. Prondzinsky R, Unverzagt S, Russ M, et al. Hemodynamic effects of intra-aortic balloon counterpulsation in patients with acute myocardial infarction complicated by cardiogenic shock: the prospective, randomized IABP shock trial. *Shock*. 2012;37:378–384.
8. Parisis H, Graham V, Lampridis S, et al. IABP: history–evolution–pathophysiology–indications: what we need to know. *J Cardiothorac Surg*. 2016;11:122.
9. Takeuchi M, Nohtomi Y, Yoshitani H, et al. Enhanced coronary flow velocity during intra-aortic balloon pumping assessed by transthoracic Doppler echocardiography. *J Am Coll Cardiol*. 2004;43:368–376.
10. Wang J, Yu W, Gao J, et al. Preoperative prophylactic Intraaortic Balloon Pump Reduces the Incidence of Postoperative Acute Kidney Injury and Short-Term Death of High-Risk Patients Undergoing Coronary Artery Bypass Grafting: A Meta-Analysis of 17 Studies. *Ann Thorac Surg*. 2016;101:2007–2019.

11. Ahmad Y, Sen S, Shun-Shin MJ, et al. Intraaortic balloon pump therapy for myocardial infarction: a meta-analysis. *JAMA Intern Med.* 2015;175:931–939.
12. Estep JD, Cordero-Reyes AM, Bhimaraj A, et al. Percutaneous placement of an intra-aortic balloon pump in the left axillary/subclavian position provides safe, ambulatory long-term support as bridge to heart transplantation. *JACC Heart Fail.* 2013;1:382–388.
13. Barge-Caballero E, Segovia-Cubero J, Almenar-Bonet L, et al. Preoperative INTERMACS profiles determine postoperative outcomes in critically ill patients undergoing emergency heart transplantation: analysis of the Spanish National Heart Transplant Registry. *Circ Heart Fail.* 2013;6:763–772.
14. González-Vilchez F, Gómez-Buono M, Almenar L, et al. Spanish Heart Transplant Registry. 28th Official Report of the Spanish Society of Cardiology Working Group on Heart Failure (1984–2016). *Rev Esp Cardiol.* 2017;70:1098–1109.
15. Lund LH, Khush KK, Cherikh WS, et al. The Registry of the International Society for Heart and Lung Transplantation: Thirty-fourth Adult Heart Transplantation Report—2017; Focus Theme: Allograft ischemic time. *J Heart Lung Transplant.* 2017;36:1037–1046.
16. Yoshioka D, Li B, Takayama H, et al. Outcome of heart transplantation after bridge-to-transplant strategy using various mechanical circulatory support devices. *Interact Cardiovasc Thorac Surg.* 2017;25:918–924.
17. Barge-Caballero E, Gonzalez-Vilchez F, Farrero-Torres M, Segovia-Cubero J. Selection of the Best of 2017 in Cardiac Transplant and Ventricular Assist Devices. *Rev Esp Cardiol.* 2018;71:300–301.
18. Kirklin J, Cantor R, Mohacs P, et al. First Annual IMACS Report: A global International Society for Heart and Lung Transplantation Registry for Mechanical Circulatory Support. *J Heart Lung Transplant.* 2016;35:407–412.
19. Ferguson JJ, Cohen M, Freedman RJ, et al. The current practice of intra-aortic balloon counterpulsation: results from the Benchmark Registry. *J Am Coll Cardiol.* 2001;38:1456–1462.
20. Valente S, Lazzeri C, Crudeli E, et al. Intraaortic balloon pump: incidence and predictors of complications in the Florence Registry. *Clin Cardiol.* 2012;35:200–204.