Original article

Functional rehabilitation based on therapeutic exercise training in patients with postacute COVID syndrome (RECOVER)

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A B S T R A C T

Introduction and objectives: Postacute COVID syndrome (PACS) is common after acute SARS-CoV-2 infection. One of the most frequent and disabling symptoms is exercise intolerance (EI). Recent evidence suggests that EI in PACS has a peripheral (metabolic-neuromuscular) origin, suggesting that exercise training may be an effective treatment. The aim of this study was to assess the role a therapeutic physical exercise program (TPEP) in PACS with EI.

Methods: This single-center, open-label, randomized clinical trial compared an exercise training program (intervention group) with regular physical activity recommendations (control group) in patients with PACS and EI. The intervention group underwent an 8-week TPEP. The primary endpoint was improvement in functional capacity, assessed as the change in peak $VO₂$.

Results: We included 50 participants with PACS (73% women, mean age 47 ± 7.1 years). The intervention group showed a 15% improvement in peak VO₂ (peak VO₂ pre- and postintervention: 25.5 \pm 7.7 mL/kg/min and 29.3 \pm 4.7 mL/kg/min; $P < .001$) and a 13.2% improvement in predicted values (92.1 \pm 14.3% and 108.4 ± 13.4 %; $P < .001$). No significant changes in VO₂ values were observed in the control group. Unlike the control group, the intervention group also showed improvements in all secondary outcomes: quality of life scales, muscle power, maximum inspiratory power, metabolic flexibility, and body fat percentage. *Conclusions:* The program improved functional capacity in patients with PACS and EI.

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Readaptación funcional basada en ejercicio físico terapéutico en pacientes con COVID persistente (RECOVER)

R E S U M E N

Introducción y objetivos: El síndrome de COVID persistente (SCP) es frecuente tras la infección aguda por SARS-CoV-2, y la intolerancia al ejercicio (IE) uno de los síntomas más frecuentes y limitantes. La evidencia reciente indica que el origen de los síntomas es periférico (muscular), por lo que el ejercicio físico podría ser un tratamiento eficaz. Este estudio evalúa la eficacia de un programa de ejercicio físico terapéutico (PEFT) en la mejora de la capacidad funcional de los pacientes con SCP e IE.

Métodos: Estudio aleatorizado, unicéntrico, controlado y abierto que compara un PEFT (grupo de intervención) con recomendaciones de actividad física estándar (grupo de control) en pacientes con SCP con IE. El grupo de intervención recibió 8 semanas de PEFT. El objetivo principal fue el cambio en la capacidad funcional medido mediante el consumo pico de oxígeno (VO₂ pico).

Resultados: Se incluyó a un total de 50 pacientes con SCP (el 73% mujeres; media de edad, 47 ± 7,1 años). El grupo de intervención presentó una mejora en el VO₂ pico del 15% (VO₂ pico inicial y final: 25,5 ± 7,7 y 29.3 ± 4.7 ml/kg/min; p < 0,001) y del 13,2% en valores del %VO₂ máximo predicho (el 92,1 \pm 14,3% y el 108,4 \pm 13,4%; p < 0,001), sin cambios significativos en el grupo de control. Todos los objetivos secundarios

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también mejoraron exclusivamente en el grupo de intervención: escalas de calidad de vida, potencia muscular desarrollada, potencia inspiratoria máxima, flexibilidad metabólica y porcentaje de grasa corporal. *Conclusiones:* El PEFT mejora la capacidad funcional de los pacientes con SCP e IE.

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Abbreviations

CG: control group CPET: cardiopulmonary exercise testing EI: exercise intolerance IG: intervention group PACS: postacute COVID syndrome TPEP: therapeutic physical exercise program

INTRODUCTION

Since the beginning of the COVID-19 pandemic, more than 13 500 000 people have been infected with SARS-CoV-2 in Spain.^{[1](#page-8-0)} Between 10% and 30% are estimated to have persistent symptoms after the acute phase. $2-4$ Postacute COVID syndrome (PACS) is defined as symptom persistence for more than 12 weeks after infection^{[5](#page-8-0)} and its development is not related to symptom severity. Exercise intolerance (EI), defined as dyspnea on exertion or marked asthenia, is one of the most frequent symptoms of PACS and is associated with reduced quality of life.^{[6](#page-8-0)}

From the onset of the pandemic, a heterogeneous cardiorespiratory impact was recorded, with symptoms ranging from mild to severe, including pulmonary thromboembolism, myocarditis, and pulmonary fibrosis.^{[7](#page-8-0)} However, the vast majority of patients with PACS and EI but without severe symptoms show no cardiorespiratory abnormalities during follow-up. $8-11$

For the functional assessment and study of dyspnea and EI, the gold standard is cardiopulmonary exercise testing (CPET). By using CPET, our group was the first to identify a peripheral origin for EI in PACS.^{[11](#page-8-0)} A recent meta-analysis of our work and 37 other studies conducted using CPET and including more than 2000 patients with PACS strengthened the hypothesis of defective peripheral oxygen uptake as one of the possible causative mechanisms of the EI.^{[9](#page-8-0)} One of these studies^{[8](#page-8-0)} used invasive measurement of O_2 .

Physical exercise is the most effective way to boost peripheral oxygen uptake, by improving metabolic and neuromuscular function. In addition, various observational studies have proven that exercise-based rehabilitation improves peak $VO₂$ and strength in patients with PACS.^{[12](#page-8-0)} However, no randomized study has thus far used CPET to assess the impact of a therapeutic physical exercise program (TPEP) in patients with PACS and EI. The objective of the present RECOVER study (*Readaptacio´n funcional basada en ejercicio fı´sico en pacientes con COVID persistente* [Functional rehabilitation based on therapeutic exercise training in patients with postacute COVID syndrome]) was thus to assess the efficacy of a TPEP in patients with PACS and EI.

METHODS

Study design

This single-center, open-label, randomized clinical trial compared a TPEP (intervention group [IG]) with the exercise recommendations of current clinical practice guidelines^{[13](#page-8-0)} (control group [CG]). The protocol was approved by the ethics committee of our center. All patients provided consent.

Patient selection

The following inclusion criteria were applied: *a)* adults aged between 18 and 65 years with a history of COVID-19; *b)* symptoms compatible with PACS more than 12 weeks after infection, including asthenia and dyspnea on exertion; *c)* no previous symptoms; *d)* absence of another condition explaining the symptoms; and *e)* provision of signed informed consent. The exclusion criteria were *a)* physical or psychiatric limitation impeding participation in a TPEP, and *b)* presence of impediments for completing the TPEP.

Baseline assessment, randomization, and statistical analysis

Patients were 1:1 randomized to the IG or CG. We then performed CPET, measurement of maximal inspiratory pressure, body composition analysis using bioimpedance, neuromuscular assessment with load-velocity profiles determined using linear encoders for various muscle groups, and evaluation using the following scales: Post-COVID-19 Functional Status (PCFS), EuroQol scale (EQ-5D-5L), and Patient Health Questionnaire-9 (PHQ-9).

Sample size was calculated using a *t* test with a 2-tailed significance level of 5% based on previous studies of PACS showing a decreased $VO₂$ and other CPET studies in another population type that determined that TPEP improved the peak $VO₂$ by about 12%.^{[14](#page-8-0)} Eighteen patients were required in each group (power $> 80\%$).

For quantitative variables, a descriptive analysis was conducted using mean \pm standard deviation or median [interquartile range], according to distribution. Qualitative variables are reported using frequency and percentage. The normality of the distribution was tested using the Kolmogorov-Smirnov test. Continuous variables were compared using a *t* test or Mann-Whitney *U* test. For qualitative variables, a chi-square test or Fisher test was used. In all analyses, a 2 tailed *P* value < .05 was considered statistically significant. Data analysis was performed via an intention-to-treat analysis, according to randomization. The analytical software used was STATA version 14.0 (StataCorp, United States).

Intervention

The intervention in each group is briefly reported here and in [figure](#page-2-0) 1. The exercise program is described in detail in the section ''Exercise protocol'' in [appendix](#page-8-0) 1.

Intervention group

IG patients completed an 8-week TPEP comprising an in-person modality and another modality that was conducted at home with remote monitoring (via heart rate monitors). The latter comprised extensive aerobic training blocks (increasing intensity and volume from 40% to 65% of the peak $VO₂$ intensity and from 30 to

Figure 1. Central illustration. Patients with postacute COVID syndrome (PACS) with asthenia or dyspnea on exertion but without apparent changes in the cardiovascular or respiratory system were randomized to standard lifestyle and exercise recommendations (control group) or to a therapeutic physical exercise program (intervention group) for 8 weeks. The participants in the intervention group showed increased peak oxygen consumption (peak VO₂) and improvements in quality of life scales, strength variables, respiratory muscles (maximal inspiratory pressure), and mitochondrial function parameters. No changes were seen in these variables in the control group. MIP, maximal inspiratory pressure.

60 minutes) and respiratory muscle training blocks (2 daily sessions, 6 days a week). The in-person training included 3 aspects:

- Velocity-based neuromuscular training. In this training program, individuals performed each repetition at the maximum possible velocity, as assessed using linear encoders. In each set, a target velocity loss of 20% was permitted, as well as of 15% until the end of the training session. The load used was progressively increased from 60% to 80% of the single repetition maximum.
- High-intensity interval training. This type of exercise was included in the second phase of the intervention program (weeks 4-8).
- Training program for the core muscles.

Control group

The CG received recommendations on physical exercise and healthy habits based on recommendations for the general population.[13](#page-8-0) After 8 weeks of training, all patients underwent an assessment similar to that of visit 1.

Endpoints

The primary endpoint was the change in peak $VO₂$ in mL/kg/min and as a percentage of the predicted value. The secondary endpoints were: *a)* change in quality of life scores (PCFS, EQ-5D-5L, and PHQ-9); *b)* change in maximal inspiratory pressure; *c)* change in neuromuscular capacity evaluated using load-velocity profiles for squat and bench press exercises; *d)* change in body fat percentage; and *e)* changes in mitochondrial function parameters: maximal fat and carbohydrate oxidation and load-time at the mitochondrial exhaustion point.

RESULTS

Baseline characteristics

Initially, 56 patients with PACS were assessed; 50 were ultimately randomized (25 per group). Of these, 18 in the IG and 19 in the CG completed the protocol (73% women; mean age, 47 \pm 7.1 years) ([figure](#page-3-0) 2). The 2 groups exhibited balanced baseline characteristics.

[Table](#page-5-0) 2 shows the results for the variables obtained in the CPET, as well as those derived from the quality of life scales.

Primary and secondary endpoint results

Peak VO₂ significantly improved by 15% after the TPEP in the IG (peak VO² pre- vs postintervention, 24.9% vs 29.3% mL/kg/min; *P* < .001). Predicted $\%$ VO₂ max improved by 13.2 $\%$ ($\%$ VO₂ max pre- vs

Figure 2. Flow chart showing the inclusion, randomization, allocation, follow-up, and analysis of the study patients.

postintervention, 94.1% vs 108.4%; *P* < .001). In contrast, the peak $VO₂$ in the CG showed no significant changes (peak $VO₂$ pre- vs postintervention, 25.2 vs 24.8 mL/kg/min; $P = .46$; predicted $\%VO₂$ max pre- vs postintervention, 94.4% vs 91%; $P = .46$) [\(figure](#page-2-0) 1 and [figure](#page-4-0) 3).

The functional improvement reflected in the $VO₂$ was correlated with improvements in quality of life scales [\(table](#page-5-0) 3). Other secondary endpoints, such as maximal inspiratory pressure, neuromuscular capacity (squat and bench press), and body fat percentage, improved after the intervention in the IG vs the CG ([table](#page-6-0) 4).

Mitochondrial metabolic map

The IG reached the mitochondrial exhaustion point at higher loads and a higher heart rate (*P* < .001) without significant changes in mitochondrial fat oxidation (FATox) (table 1 of the [supplemen](#page-8-0)tary [data\)](#page-8-0). This indicates longer use of fat as substrate during exercise and an increase in the area under the curve for FATox, demonstrating better energy efficiency. The maximal carbohydrate oxidation also increased (*P* < .001). Meanwhile, both parameters were unchanged in the CG. These results indicate improved metabolic flexibility in the IG [\(table](#page-4-0) 1).

DISCUSSION

The RECOVER study is the first randomized clinical trial to use CPET to assess the impact of a TPEP in patients with PACS and EI. The most pertinent findings are the following: *a)* the TPEP improved functional capacity, as evaluated using peak $VO₂$, in patients with PACS and EI; *b)* this improvement was associated with enhanced quality of life; *c)* the TPEP improved strength and body composition (fat percentage); and *d)* the TPEP was related to improvements in metabolic flexibility and mitochondrial function indirectly estimated using CPET.

Our results revealed improvements of 15% in the peak $VO₂$ and of 13.2% in the predicted $VO₂$ max in the IG and no significant

Table 1

Baseline characteristics

BMI, body mass index; COPD, chronic obstructive pulmonary disease; NA, not applicable; PACS, postacute COVID syndrome. Values represent No. (%), mean \pm standard deviation, or median [interquartile range].

Figure 3. Primary endpoint results (A and B) and some secondary endpoints (C, body fat percentage; D, change in the load-velocity curve for squat performance). The panels illustrate the change from visits 1 to 2 in the intervention and control groups. Panel A shows the change in peak oxygen consumption (VO₂). Panel B shows the changes over time in VO₂ as a percentage of the predicted VO₂ max for the population according to Wasserman/Hansen equations.

Table 2

Quality of life and cardiopulmonary exercise testing data

EQ-5D-5L, EuroQol scale; FEV₁, forced expiratory volume in the first second; FVC, forced vital capacity; HR, heart rate; PCFS, Post-COVID-19 Functional Status; peak VO₂, maximal oxygen uptake during the test; PHQ-9, Patient Health Questionnaire-9*;* RER, respiratory exchange ratio; SBP, systolic blood pressure; %VO² max, peak oxygen uptake as a percentage of the predicted maximal oxygen uptake; VCO₂, carbon dioxide production; VE, minute ventilation; VE/VCO₂ slope, ventilatory efficiency; VT1, first ventilatory threshold.

Values represent No. (%), mean \pm standard deviation, or median [interquartile range].

changes in the CG. This improvement in functional capacity might underlie the improvements in the quality of life scores.

The baseline $VO₂$ was 94%, similar to that reported for mild and moderate cases after a 1-year clinical course.^{11,14-17} This VO₂, exceeding 80%, does not rule out the presence of a significant clinical limitation. Differences in the predicted $VO₂$ have been reported between symptomatic and asymptomatic patients (92% vs 107.3%), which indicates the relationship between PACS symptoms and the VO_2 .^{[17](#page-8-0)} In addition, the symptom improvement was related to an improved peak $VO₂$, which reveals an amelioration of the physical condition deterioration that is probably related to the virus.^{[18](#page-8-0)} However, the peak $VO₂$ often remains reduced 12 months after the infection.^{[17](#page-8-0)} The baseline $VO₂$ of our sample, close to normal, strengthens the effectiveness of the TPEP because, in line with the Wilder principle, it is more difficult to find significant differences when the initial values are higher. 19 In addition, sample stratification by baseline $VO₂$ [\(figure](#page-7-0) 4)

Table 3

Endpoints

revealed a gradient of improvement, with the participants with the lowest baseline $VO₂$ improving the most.

In agreement with other studies, $20,21$ symptoms of anxietydepression were seen and the PHQ-9 score was 12.1 (indicating moderate depression). Functional status was quantified using the PCFS scale, which is specific for PACS 22 22 22 and which is scored from 0 (no limitation) to 4 (severe functional limitation). Both groups had a mild-to-moderate limitation at baseline. TPEP was associated with a decrease in anxiety-depression in the IG while no change was seen in the CG. Regarding functional status, TPEP led to a significant improvement in the PCFS scale, specifically in the IG, probably due to the effect of exercise on neuroplasticity, by reducing the allostatic load induced by the convalescent period. 23

Peripheral neuromuscular involvement is one of the main hypotheses concerning the origin of the EI in patients with PACS.^{[9,24](#page-8-0)} Various theories have been advanced to explain the pathogenesis of the peripheral dysfunction, and our group

EQ-5D-5L, EuroQol scale; PCFS, Post-COVID-19 Functional Status*;* PHQ-9, Patient Health Questionnaire-9*;* VO2, oxygen uptake. Values represent No. (%), mean \pm standard deviation, or median [interquartile range].

Table 4

Change in cardiopulmonary exercise testing, body composition, and strength variables

BMI, body mass index; FEV₁, forced expiratory volume in the first second; FVC, forced vital capacity; peak VO₂, maximal oxygen uptake during the test; RER, respiratory exchange ratio; %VO₂ max, peak oxygen uptake as a percentage of predicted maximal oxygen uptake; VCO₂, carbon dioxide production; VE, minute ventilation; VE/VCO₂ slope, ventilatory efficiency.

Values represent No. (%), mean \pm standard deviation, or median [interquartile range].

proposes that metabolic-mitochondrial dysfunction is the main driver of the EI, based on the current evidence and the exploratory findings reported here. Patients with PACS have mitochondrial dysfunction, indirectly demonstrated using the FATox rate and lactate use (a method described and validated by San Millán and Brooks^{[25](#page-8-0)}) and, recently, metabolomic studies.^{[26](#page-8-0)} This mitochondrial dysfunction is even present when patients with PACS are compared with individuals with metabolic syndrome, who show a lower rate of FATox and a greater accumulation of lactate at lower exercise loads. 24 24 24 CD147, a mitochondrial transmembrane glycoprotein from the immunoglobulin superfamily, has been suggested to serve as a gateway for SARS-CoV-2 by acting as a receptor in host cells for the viral spike protein.^{[27](#page-8-0)} In addition, CD147 is implicated in the mitochondrial surface expression of the monocarboxylate transporters MCT1 and MCT4, responsible for transporting cytosolic lactate to the mitochondrial interior or to adjacent cells for its subsequent use as an energy source. Blockade or saturation of CD147 by the virus could lead to lower expression of the MCT1 and -4 transporters, which would inevitably culminate in the inability of mitochondria to internalize and use the lactate produced.[28](#page-8-0) Accordingly, the poor clearance of lactate from the cells, in conjunction with the lower FATox capacity, would result in mitochondrial dysfunction, the cause of the EI symptoms and the functional incapacity.

Physical exercise exerts beneficial effects on mitochondrial dynamics, by stimulating, first, mitochondrial fusion, mitophagy, and mitochondrial biogenesis, and, second, the transformation of fast-twitch muscle fibers (type IIa), which are largely used for glycolytic metabolism, into slow-twitch fibers (type I), which have higher oxidative capacity for fatty acids. In our study, although no data were available on lactate levels, we used CHOox (carbohydrate oxidation) as an indirect measure of glycolytic function, which overall indicated higher metabolic flexibility after the intervention. Although maximal FATox was not significantly changed, the improvement in metabolic flexibility was related to a more efficient use of fat during exercise, via an improved time and load at which the mitochondrial exhaustion point was reached (with an increased area under the curve of FATox during exercise). The preferential use of lipids allows carbohydrates to be reserved for higher intensity exercise, which indicates greater energy efficiency.

A novel aspect of the training method is the clinical use of a resistance training method, 29 used in the field of physical performance. In the physical performance field, a paradigm shift has occurred in the last 2 decades, from load-based resistance training (LRT), based on a voluntary maximum number of repetitions, to velocity-based resistance training (VRT) methods. Linear encoders are used in the latter approach because they allow real-time measurement of the velocity of each repetition. This method is based on individuals performing each repetition at the maximum velocity possible. The number of repetitions is not predetermined but is adjusted to the percentage of velocity loss, that is, the degree of fatigue experienced by the individual. This permits individualization of the load of each session based on the degree of strength improvement (allowing greater loads) and of the accumulated fatigue (leading to reduced loads). However, in LRT, the reference is an estimated maximum repetition or a specific measurement at training program initiation; this weight is fixed and invariable during the program. It was first reported at the end of the 1990s that these exercises had to be performed at a specific velocity to improve strength, meaning that adequate adherence to VRT achieves better strength improvements than LRT.^{[29](#page-8-0)} Beyond their greater effectiveness, one of the most relevant aspects of their implementation is the possible individualization and assessment of fatigue in VRT, which improves safety and avoids overtraining. Both are extremely important not only for strength improvements, but also for achieving adherence (a vital aspect in this clinical context).

No significant changes were detected in the first ventilatory threshold after the intervention. This was partly due to the design

Figure 4. Change in peak oxygen consumption (VO₂) during follow-up based on baseline peak VO₂. The figure shows the pre- and postintervention change in VO₂ in the control and intervention groups (in bold, the percentage difference). There is a gradient of improvement according to baseline $VO₂$ in the intervention group, with patients with a lower baseline VO₂ obtaining greater benefit from the therapeutic physical exercise program, although the improvement was evident in the entire intervention group.

of the TPEP, which was focused on improving the primary endpoint (peak $VO₂$) and not particularly on zone 2 training, the type of exercise that more directly affects this threshold. In addition, the first ventilatory threshold was within the normal range, a frequent finding in PACS, particularly in individuals who did not require hospitalization, $30,31$ which hinders the achievement of pertinent changes.

As well as resistance training, inspiratory muscle training significantly ameliorates symptoms in patients with PACS.^{[32](#page-8-0)} In our study, the TPEP included training of the respiratory muscles, and the results revealed a significant improvement in the maximal inspiratory pressure of the patients in the IG, which boosted their functional and symptomatic recovery.

In addition to the above-mentioned possible causes of EI in PACS, the complex and as-yet-unknown pathophysiology of PACS may include autonomic dysfunction, disproportionate hyperventilation for the degree of exercise, chronotropic incompetence, and immune system dysregulation. $33-36$ It remains to be elucidated whether the EI involves these entities or other pathophysiological mechanisms, as well as whether it actually comprises a COVID-19 specific mechanism or one common to a variety of diseases. Understanding the pathogenesis of EI in patients with PACS would enable the identification of appropriate treatments but structured TPEPs may currently be the best treatment, given the promising results from our study.

Due to the prevalence of PACS, it is impossible to offer TPEPs in specific units to all patients. Cardiac rehabilitation units, given their experience with the prescription of TPEPs, should play a starring role in the treatment of PACS. For patients without access to these units, the challenge will be to develop a standardized home-based TPEP that includes polarized aerobic training (low and high intensity), resistance training (without the need for specific machines), and respiratory training, so that all patients can benefit from our findings. The impact of the absence of certain equipment or a personal trainer will be minimized by extending the program beyond the 8-week duration of our intervention.

Limitations

This study has several limitations. Although sufficiently powered to find statistically significant differences, the sample size is small. Second, there is volunteer bias, inherent to this type of clinical trial. Finally, mitochondrial function parameters were added as a secondary endpoint after study initiation.

CONCLUSIONS

TPEPs in patients with PACS and EI improve functional capacity evaluated using $VO₂$. This is accompanied by improvements in perceived quality of life, strength, body fat percentage, and metabolic-mitochondrial function parameters (metabolic flexibility).

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AUTHORS' CONTRIBUTIONS

The manuscript authors accept full responsibility for the manuscript content, as defined by the International Committee of Medical Journal Editors. J. Godoy López and M. Gallango Brejano were in charge of the design and application of the training program. A. Berenguel Senén, A. Gadella Fernández, J. Borrego Rodríguez, P. Cepas Guillén, J. Godoy López, and M. Gallango Brejano participated in the manuscript writing and revision. The remaining authors contributed to the design or development of the study.

WHAT IS KNOWN ABOUT THE TOPIC?

– PACS is a frequent condition after acute COVID-19 infection whose most common symptom is exercise intolerance. The literature shows the presence of peripheral neuromuscular involvement.

WHAT DOES THIS STUDY ADD?

– The RECOVER study is the first randomized clinical trial to use CPET to assess the role of a TPEP in patients with PACS. The results show that the TPEP effectively improved functional capacity (measured using VO2 change), quality of life, strength, mitochondrial function parameters, and body composition.

CONFLICTS OF INTEREST

The authors declare no conflicts of interest.

APPENDIX. SUPPLEMENTARY DATA

Supplementary data associated with this article can be found in the online version, at [https://doi.org/10.1016/j.rec.2023.06.016](http://dx.doi.org/10.1016/j.rec.2023.06.016)

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